



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LEE ET AL. Examiner: UNKNOWN
Serial No.: 09 909,488 Group Art Unit: 1723
Filed: JULY 20, 2001 Docket: 12897.10US01
Due Date: OCTOBER 20, 2001
Title: NANOFILTRATION WATER-SOFTENING APPARATUS AND METHOD

CERTIFICATE UNDER 37 CFR 1.8 The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on October 11, 2001

By *[Signature]*

Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Information Disclosure Statement, Form 1449, 40 Reference(s)
- Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: *Daniel Pauly*
Name: Daniel M. Pauly
Reg. No. 40,123
DPauly:PSTkaw



*RECEIVED
DEC 24 2001
TC 1700*



S/N 09/909,488

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LEE ET AL.

Examiner: UNKNOWN

Serial No.: 09/909,488

Group Art Unit: 1723

Filed: JULY 20, 2001

Docket No.: 12897.10US01

Title:

NANOFILTRATION WATER-SOFTENING APPARATUS AND METHOD

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on October 19, 2001.

By: *[Signature]*

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not

RECEIVED
DEC 21 2001
TC 1700

represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

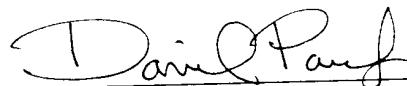
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Date: 10/19/01



Daniel M. Pauly
Reg. No. 40,123
DMP:PSTkaw

